

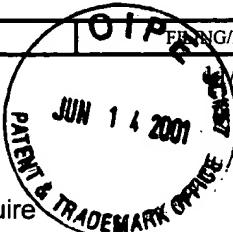


# UNITED STATES PATENT AND TRADEMARK OFFICE

43  
COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,906	4/15/2000	Yael Melman	EMC-97-028-A



Leanne J Fitzgerald Esquire  
EMC Corporation  
35 Parkwood Drive  
Hopkinton, MA 01748-9103

CONFIRMATION NO. 5997

FORMALITIES LETTER



\*OC000000005987696\*

Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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APR 25 2001

EMC CORPORATION  
Intellectual Property Group  
Office of the General Counsel  
Legal Department

06/15/2001 SDIRETA1 00000074 050889 09833906  
01 FC:105 130.00 CH



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Yael Melman Attorney Docket No. EMC-97-028-A (CON)

Serial No.: 09/833,906 Examiner: To be assigned

Filed: November 15, 2000

Title: COMMUNICATION MECHANISM AND METHOD FOR EASILY TRANSFERRING INFORMATION BETWEEN PROCESSES

**Box Missing Parts**

**Assistant Commissioner for Patents**  
**Washington, DC 20231**

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Applicant has noted the following errors in the Filing Receipt issued on April 19, 2001:

1. The omission of prior case history on the Filing Receipt. The correction should be as follows:  
**Continuation of Serial No. 09/939,772 filed September 29, 1997;**
2. The omission of the residence of the applicant. Applicant's residence is Newton, MA.

A copy of the official Filing Receipt with the changes indicated is enclosed. Applicant kindly requests that the filing receipt be amended accordingly.

Respectfully submitted,

Date: 6.08.01

*Leanne J. Fitzgerald*  
Leanne J. Fitzgerald, Reg. No. 40,606  
EMC Corporation  
35 Parkwood Drive  
Hopkinton, Massachusetts 01749  
(508) 435-1000 ext. 77256



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/833,906	11/15/2000	2152	978	EMC-97-028-A	2	26	5



Leanne J Fitzgerald Esquire  
EMC Corporation  
35 Parkwood Drive  
Hopkinton, MA 01748-9103

CONFIRMATION NO. 5997

FILING RECEIPT



\*OC000000005987695\*

Date Mailed: 04/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yael Melman, Residence Not Provided; (Newton, MA)

RECEIVED

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/939,772 FILED 09/29/1997.

APR 25 2001

## Foreign Applications

If Required, Foreign Filing License Granted 04/18/2001

EMC CORPORATION  
Intellectual Property Group  
Office of the General Counsel  
Legal Department

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Communication mechanism and method for easily transferring information between processes

## Preliminary Class

709

Data entry by : DURANT JR, SAMUEL

Team : OIPE

Date: 04/19/2001





177

P Sector \$

Docket No. EMC-97-028-A(CON)  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Yael Melman

Art Unit: 2152

Serial No.: 09/833,906

Examiner: To be assigned

Filing Date: November 15, 2000

Title: COMMUNICATION MECHANISM AND METHOD FOR EASILY  
TRANSFERRING INFORMATION BETWEEN PROCESSES**Box Missing Parts****Assistant Commissioner for Patents**  
**Washington, DC 20231**

Transmitted herewith are the following documents:

1. Response to Notice to File Missing Parts;
2. One page of the Notice to File Missing Parts of Nonprovisional Application to be returned with the Response;
3. Copy of the date-stamped postcard by the OIPE;
4. Copy of the Declaration and Power of Attorney (2 pages from prior application);
5. Request for Corrected Filing Receipt;
6. Copy of Filing Receipt indicating requested changes; and
7. Return receipt postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned at (508) 435-1000.

Please charge the applicable fees to Deposit Account 05-0889. A duplicate of this sheet is enclosed.

  
LEANNE J. FITZGERALDDate: June 08, 2001**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

I hereby certify that the attached *Response to Notice to File Missing Parts, One page of the Notice to File Missing Parts of Nonprovisional Application; Copy of the date-stamped postcard; copy of the Declaration and Power of Attorney, Request for Corrected Filing Receipt, copy of the Filing Receipt indicating requested changes, and postcard* are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on this 11<sup>th</sup> day of June, 2001.

  
PATRICIA MACMUNN



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

TRADEMARKS  
Yael Melman Attorney Docket No.: EMC-97-028-A (CON)  
Serial No.: 09/833,906 Art Unit: 2152  
Filed: November 15, 2000 Examiner: To be assigned  
Invention: COMMUNICATION MECHANISM AND METHOD FOR EASILY TRANSFERRING INFORMATION BETWEEN PROCESSES

**Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Responsive to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) that was issued on April 19, 2001 (a copy of which is enclosed), Applicant submits the following:

1. Copy of postcard that was date-stamped by the OIPE on November 15, 2000 indicating receipt of the signed Declaration by the applicant, Yael Melman upon the filing of the Continuation Application;
2. Copy of the Declaration that was signed and dated September 26, 1997.

Applicant believes the application to be acceptable and no additional papers or fees are required.  
Please apply any other charges or credits to Deposit Account No. 05-0889.

Respectfully submitted,

Date: 6-08.01

Leanne J. Fitzgerald, Reg. No. 40,606  
EMC Corporation  
35 Parkwood Drive  
Hopkinton, Massachusetts 01749  
(508) 435-1000

Serial No.: 08/939,772

Filed: 9/29/97

Attorney: LTF:pm

Applicant: Melman

Docket No: EMC-97-028-A

Date Mailed: Nov 10 2000

Title: Communication Mechanism and Method for  First Class Mail  Express Mail No.

The following was/were received in the U.S. Patent &amp; Trademark Office Mail Room on the date stamped hereon:

1.  PCT New Application Transmittal (in duplicate if charging deposit account)  Easily Transferring Info. Btw Proc.  12. Request for Corrected Filing Receipt  
 PCT Request  13. Completion of Filing Requirements or Response to Notice of Informal Application  
 PCT Demand  14. Transmittal for Amendment (Response)  
 2. Transmittal Form for Application\* (in duplicate if charging deposit account)  15. Petition for \_\_\_ Mo. Extension of Time (in duplicate if charging deposit account)  
 Provisional Application under 37 CFR 1.53(c)  16. Amendment (Response)  
 Utility Application under 37 CFR 1.53(b)  17. Preliminary Amendment (Response)  
 RCE Application under 37 CFR 1.114  18. Response to Restriction Requirement or Requirement for Election of Species  
 CPA Application under 37 CFR 1.53(d)  19. Information Disclosure Statement, with Form(s) PTO-1449 (\_\_\_ sheets) and copies of \_\_\_ references  
 Continuation Application under 37 CFR 1.53(b)  20.  Affidavit or  Declaration under 37 CFR \_\_\_ § 1.131 \_\_\_ § 1.132  
 Divisional Application under 37 CFR 1.53(d)  21. Notice of Appeal  
 CIP Application under 37 CFR 1.53(b)  22. Petition for Revival- Unintentional  
 Other  23. Letter  
 3. \*Patent Application including:  24. Status Inquiry  
 Pages Specification  25. Issue Fee Transmittal  
 Pages Claims (Claims 1 through \_\_\_)  26. Maintenance Fee Transmittal  
 Pages Abstract  27. Separate post card(s)  
 2. Sheets of Drawings (Fig. 1 through 3)  28. Check No. \_\_\_\_\_ for \$ \_\_\_\_\_  
 Declaration/Power of Attorney (2 pages)  (COPY)  29. Covering Item No(s).  
 Verified Small Entity Status  30. Certificate of First Class Mailing  
 Executed Power of Attorney  31. Certificate of Express Mail  
 Priority Document(s) & cover sheet  Other: \_\_\_\_\_  
 Assignment & cover sheet (COPY)  \_\_\_\_\_  
 Request to Approve Drawing Change with \_\_\_ Sheets of Red-Line Drawings  
 \_\_\_\_\_ Sheets Formal Drawings w/Cover Sheet  
 Request for Refund

COPY



PATENT & TRADEMARK OFFICE  
 NOV 15 2000



PATENT & TRADEMARK OFFICE  
 JUN 14 2001